## TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER 016325-013510US

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INTERNATIONAL APPLICATION NO.

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INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/US2003/036131 November 13, 2003 November 13, 2002 TITLE OF INVENTION A PANCREATIC ISLET TRANSCRIPTION FACTOR AND USES THEREOF APPLICANT(S) FOR DO/EO/US JOHNSON, Jeffrey, D.; PALMA, John, F.; SCHWEITZER, Anthony C.; BLUME, John E. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. c. X is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. A have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. A second copy of the published International Application under 35 U.S.C 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: Express Mail No.: EV 544913019 US; Return Receipt Postcard; International Publication No.: WO 2004/044170 A2; WO 2004/044170 A3 (International Search Report); Forms: PCT/IB/308; PCT/IB/306; PCT/IB/304; Chapter II Demand with Article 34 Amendment; Paper Sequence Listing

## JC14 Rec'd PCT/PTO 02 MAY 2005

U.S. APPLICATION NO. (if known, see 37 CFR.1.5) INTERNATIONAL APPLICATION NO.						ATTORNEY'S DOCKET NUMBER		
The B& Assisted 5 9 3 PCT/US2003/036131							016325-013510US	
'The following fees have been submitted:							CALCULATIONS	PTO USE ONLY
21. Basic national fee\$300							\$ 300.00	
22. Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)							\$ 200.00	
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority							\$ 100.00	
TOTAL OF 21, 22 and 23 =							\$ 600.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets	al Sheets Extra Sheets		Number of each additional 50 or fraction thereof (round up to a whole number)			1		
- 100 =	- 100 =				x \$250	\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).							\$	
CLAIMS	CLAIMS NUMBER I							
Total claims		4	10 - 20 =	20	×	\$ 50	\$ 1000	
Independent claims			9-3= 6 x <b>\$200</b>		\$200	\$ 1200		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360							\$	
TOTAL OF ABOVE CALCULATIONS =							\$ 2800.00	·
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.							\$ 1400.00	
SUBTOTAL =							\$ 1400.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).							\$	
TOTAL NATIONAL FEE =							\$ 1400.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							\$	
TOTAL FEES ENCLOSED =							\$ 1,400.00	
							Amount to be refunded:	\$
							Amount to be charged:	\$ 1400.00
a. A check in the amount of \$ to cover the above fees is enclosed.								
b. Please charge my Deposit Account No. 20-1430 in the amount of \$ 1400.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.								
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>20-1430</u> . A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.								
SEND ALL CORRESPONDENCE TO:								
Matthew E. Hinsch								2 200E
Townsend and Townsend and Crew LLP  Matthew E. Hinsch NAME						E. HINSCN		ay 2, 2005 Date
Two Embarcadero Center, 8th Floor 47,651							_	
San Francisco, CA 94111 REGISTRATION NUM							BER	